Dear Applicant,

Enclosed is the instruction sheet and application for your license as a simulcast pari-mutuel clerk. This information is necessary to complete your background investigation prior to licensing. Read the instructions and the questions carefully and provide all information as requested. If you have any questions regarding the completion of the application call the Deadwood office of the South Dakota Commission on Gaming at (605)578-3074.

The application must be returned to the address above along with a check or money order for $10.00 (DO NOT SEND CASH), which is non-refundable, and two fingerprint cards. These cards must be obtained from the Commission offices in either Pierre or Deadwood.

If you have any questions, you may contact our office at the above address.

Sincerely,

Susan Christian

Executive Secretary
INSTRUCTIONS FOR SIMULCAST PARI-MUTUEL LICENSE APPLICATION

1. If you have a valid Deadwood support license, you are not required to obtain a Simulcast Pari-Mutuel License.

2. All information requested on the South Dakota Commission on Gaming simulcast pari-mutuel license application must be completed.
   
   A. PLEASE TYPE OR PRINT ALL INFORMATION ON FORM.
   
   B. This application form must be signed by the applicant.

3. Please review and sign South Dakota Commission on Gaming forms on pages 7-9. This authorizes the Commission on Gaming or the Division of Criminal Investigation to complete a background investigation on the applicant.

4. Please contact the Commission office in Pierre at (605) 773-6050 or Deadwood at (605) 578-3074 and they will mail the required fingerprint cards to you that must be used.

5. The fingerprint cards should be taken to a local law enforcement office in your area. An officer will take your fingerprints. The local law enforcement agency MAY charge a fee to take your fingerprints.

6. South Dakota Commission on Gaming form on page 10 is to be completed by law enforcement officer taking the applicant’s fingerprints.

7. Be sure that ALL FORMS ARE COMPLETED prior to having your fingerprints taken.
INDIVIDUAL HISTORY APPLICATION FORM FOR A MUTUEL EMPLOYEE AT A SIMULCAST SITE.

South Dakota Commission on Gaming
87 Sherman Street
Deadwood, SD  57732
Telephone: (605) 578-3074

Date: ___________________ Place of employment:
Name: ___________________ 

<table>
<thead>
<tr>
<th>Last</th>
<th>First</th>
<th>Middle</th>
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<tbody>
<tr>
<td>Current Address: Street __________________ City __________ State __ Zip __________</td>
<td></td>
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<tr>
<td>Telephone number: Home – (______) __________________</td>
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Alias (i.e. Nicknames, Maiden Name, Other Name Changes or Otherwise): ______________________

Date of birth: ___________________ Place of birth: _______________________
Sex: _______ Social Security number: __________________________
Color of hair: _______ Color of eyes: _______ Weight: _______ Height: _______

Drivers license number and state of issue for the past five years: ______________________
Use Additional Information sheet if necessary.

Scars, tattoos or distinguishing mark and/characteristics: _____________________________

List all addresses where you have lived for the last five years. Use Additional Information sheet if necessary.

<table>
<thead>
<tr>
<th>City</th>
<th>State</th>
<th>From: (month/year)</th>
<th>To: (month/year)</th>
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U.S. Citizen? ( ) Yes ( ) No If “NO,” attach details.
If Alien, Registration Number __________________________
S.D. Resident? ( ) Yes ( ) No
If Naturalized, Certificate Number __________________ Place __________________
Date ________________
Name of Spouse, if any: ________________________________________.

Maiden name, nickname, etc. ________________________________________.
Spouse’s Date of Birth: __________ Place of birth: __________ SSN: __________.
Spouse’s occupation: ________________________________________.

Beginning with your most recent employment, please list your employment history for the past five years.

<table>
<thead>
<tr>
<th>Name of employer</th>
<th>Address</th>
<th>Telephone number</th>
<th>Position</th>
<th>From</th>
<th>To</th>
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List the names and current addresses of three personal references

<table>
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<th>Name</th>
<th>Address</th>
<th>City</th>
<th>State</th>
<th>Telephone number</th>
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Bank Reference: __________________________________________________________
Bank Address: ____________________________________________________________

List two (2) credit references. (Include account number and balance)

(1) __________________________________________________________

(2) __________________________________________________________

Have you ever held or applied for a privileged or professional license with any regulatory agency. ( ) Yes ( ) No
If yes, list the name and address of each licensing or regulatory agency.

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<th>Address</th>
<th>City</th>
<th>State</th>
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Do you **Consent to an Investigation** of your background and police records and to waive any rights or causes of action that you may have against the South Dakota Commission on Gaming and any other individual or agency disclosing or releasing said information to the South Dakota Commission on Gaming?  ( )Yes ( ) No

**NOTE:** IF YOUR ANSWER IS YES TO ANY OF THE FOLLOWING QUESTIONS, PLEASE FURNISH DETAILS TO EACH ANSWER ON THE ADDITIONAL INFORMATION SHEET

- ✔ Arrests, Detentions, and Litigations: (Include **ALL ARRESTS** – even those which you were not convicted or charges were later dismissed)
  
  Have you ever been questioned, detained, indicted, arrested or summoned to answer for **ANY** criminal offense or violation for any reason whatsoever, regardless of the disposition of the event. **This is to include Reckless Driving, DUI, and Eluding.** (Do not include minor traffic violations.  ) Yes ( ) No
  
  Have you ever been or are you now on parole/probation to any court?  ( ) Yes ( ) No
  
  Have you ever received a pardon for any criminal act(s)? If so, list all cases without exception.  ( ) Yes ( ) No
  
  Has a Criminal indictment, information or complaint ever been returned against you, but for which you were not arrested or in which you were named as an un-indicted co-party?  ( ) Yes ( ) No
  
  Have you ever been subpoenaed to appear to testify before a federal, state or county grand jury, boards or commission?  ( ) Yes ( ) No
  
  Have you ever had a civil or criminal record expunged by a court order?  ( ) Yes ( ) No
  
  If yes, when? ________________________________________________

  DATE                CITY                   COUNTY         STATE

  Have you ever applied for a permit or license related to gaming? ( ) Yes ( ) No
  
  Have you ever been denied a permit or license related to gaming? ( ) Yes ( ) No
  
  Type of license _______________________ State____________
  
  Agency issuing license ______________________
  
  Address _________________________________ Telephone number
  
  If license was revoked, provide details.____________________________________________________________________
  ___________________________________________________________________
AFFIRMATION OF INFORMATION PROVIDED

I, ________________________________________, declare and affirm under penalties of perjury that this application, and all of the statements, attachments, supporting schedules, and documents have been examined by me, and to the best of my knowledge and belief, are in all things true and correct. I understand that any person who signs such a statement knowing the same to be false or untrue, in whole or in part, shall be guilty of perjury.

This statement is executed with the knowledge that misrepresentations or failure to reveal information requested may be deemed sufficient cause for refusal to issue a gaming license by the South Dakota Commission on Gaming. Further I am aware that later discovery of an omission or misrepresentation made in the above application, statements or attachments may be grounds for revocation of the license and possible criminal prosecution. I further consent to any background investigation necessary to determine my present and continuing suitability and that this consent continues as long as I hold a South Dakota Gaming License. I also agree that the State of South Dakota, its agencies officers and assigns, shall be entitled to collect from me all expenses incurred in recovery of any debt created by this license application, the background investigation or in pursuing any other remedy provided by law including but not limited to reasonable attorney fees and costs.

Further, I acknowledge my obligation to furnish all processes and pleadings to which I am a party as required by SDCL 42-7B-65.

________________________________________
Signature Date
INVESTIGATION AUTHORIZATION
AUTHORIZATION TO RELEASE INFORMATION

I, _____________________________________________, hereby authorize the State of South Dakota through its authorized representative, Commission on Gaming or the Office of the Attorney General, Division of Criminal Investigation, to conduct an investigation into my personal background, using whatever legal means it deems appropriate. Persons requested to provide information which the South Dakota Commission on Gaming or Division of Criminal Investigation determines necessary, are hereby authorized to provide such information.

I also authorize and consent to the South Dakota Commission on Gaming disclosing or releasing information collected in the investigation to appropriate federal, tribal, state, local or foreign law enforcement or regulatory agencies and waive any rights or causes of actions I may have against the South Dakota Commission on Gaming for releasing said information.

I understand that by signing this authorization, a financial record check will be performed. I authorize any financial institution to surrender to the Commission on Gaming or the Division of Criminal Investigation a complete and accurate record of such transactions that may have occurred with that institution, not limited to, but to include, internal banking memoranda, past and present loan applications, financial statements and any other documents relating to the applicant’s personal or business financial records in whatever form and wherever located.

It is hereby understood that the Commission on Gaming or the Division of Criminal Investigation will conduct a complete and comprehensive investigation to determine the accuracy of all information gathered. However, the State of South Dakota and the authorized representative, Division of Criminal Investigation, Commission on Gaming and other employees of the State of South Dakota shall not be held liable for inaccurate information.

The Commission on Gaming and the Division of Criminal Investigation reserves the right to investigate all relevant information and facts to its satisfaction.

FULL LEGAL NAME: ___________________________________________________
(PLEASE PRINT) (LAST) (FIRST) (MIDDLE)

SIGNATURE: ___________________________________________________________
AUTHORIZATION AND RELEASE

I, ________________________________, hereby authorize the Division of Criminal Investigation for the State of South Dakota to release to State Gaming Commission any information concerning me contained in the criminal history record files of the Division. I understand that the criminal history record files contain records of arrests which may have resulted in a disposition other than a finding of guilty (i.e. dismissed charges, or charges that resulted in a not guilty finding). I further understand that the information may contain listings of charges that resulted in suspended imposition of sentence, even though I successfully completed the conditions of said sentence and was discharged under SDCL 23A-27-17. I acknowledge that this type of information may be released, even though this record is designated as “non-public” under the provisions of 23A-27-17.

In consideration for the Division of Criminal Investigation releasing any information concerning me contained within its criminal history record files to State Gaming Commission, I, ________________________________, on behalf of myself, my spouse, legal representatives, heirs, and assigns, hereby release, waive, discharge and agree to hold harmless the Division of Criminal Investigation, its officers and employees, from all liability for any claim or damages resulting from the release of this information.

I understand that the applicant’s fingerprints will be used to check the criminal history records of the FBI and the applicant will have the opportunity to complete, or challenge the accuracy of, the information contained in the FBI record. The procedures for obtaining a change, correction, or updating of an FBI identification record are set forth in Title 28, C.F.R., §16.34.

Dated this ______ day of ________________________, 20_______, at _____________________________, _________________________.

________________________________
Signature
VERIFICATION OF FINGERPRINTS

The enclosed fingerprint card are the prints of _______________________________, taken by me.

NAME: ______________________________________
   (print)
TITLE: ______________________________________
   (print)
OFFICE: ______________________________________
   (print)

SIGNATURE: __________________________________